

Examiner Signature	Rebecca M. Bachner	Date Considered 5-6-02	56-02
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
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Complete if Known

Application Number	09/503,960
Filing Date	February 14, 2000
First Named Inventor	Ratherman, et al.
Group Art Unit	2766
Examiner Name	Not Yet Assigned
Attorney Docket Number	003801.P002

Sheet 1 of 7

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U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
RMB	3,581,072		Nymayer	5/25/1971	3/28/1968
	3,573,747		Adams, et al.	4/6/1971	2/24/1969
	4,412,287		Braddock, III	10/25/1983	9/15/1982
	4,674,044		Kalmus, et al.	4/16/1997	1/30/1985
	4,677,552		Sibley, Jr.	6/30/1987	10/5/1984
	4,789,928		Fujisaki	12/6/1988	1/30/1987
	4,799,156		Shavit, et al.	1/17/1989	10/1/1986
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	5,063,507		Lindsey, et al.	11/5/1991	9/14/1990
	5,077,665		Sliverman, et al.	12/31/1991	5/25/1989
↓	5,101,353		Lupien, et al.	3/31/1992	5/31/1989

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	Office or Country	Number	Date			
RMB	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
RMB	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
RMB	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS

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RMB	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	

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09/503,960

February 19, 2000
Rutherford, et al.

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	5,168,446		Wiseman	12/1/1992	5/23/1989
	5,243,515		Lee	9/7/1993	10/30/1990
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/	France	2658635-A1	2.6.1991			
/	Canada	2,253,543	3/19/1997			Yes

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RMB	/	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16		
/	/	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia		
/	/	POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10, No. 3		
/	/	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274		

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RMB	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
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	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	

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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/503,960
				Filing Date	February 14, 2000
				First Named Inventor	Ratterman et al
				Group Art Unit	2766
				Examiner Name	Not Yet Assigned
Sheet	4	of	7	Attorney Docket Number	003801.P002

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<i>PRMB</i>	/ MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
/	/ "Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
/	/ SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
/	/ "Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

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		Filing Date	February 14, 2000
		First Named Inventor	Ratterman, et al.
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RMB	International Search Report, PCT/US01/04811	
/	RESNICK, Paul et al. Reputation Systems. Communications of the ACM, v43,n12, pp.45-48.	
/	MEDIAPRAISE. Mediapraise receives national award for web-based technology that enables companies to solve thorny HR problem. Business Editors, Dec. 14, 1998.	
/	MEADE, Jim. Visual 360: a performance appraisal system that's fun. HR Magazine, v44, n7, p. 118.	

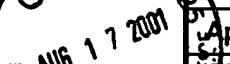
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				<p>Application Number</p>	09/503,960	<p>Technology Center 210</p> <p>AUG 21 2001</p> <p>RECEIVED</p>
				Filing Date	February 14, 2000	
				First Named Inventor	Ratherman et al.	
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				Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	003801.P002	

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RMB	PCT	WO 99/63461	5/20/99	Dinwoodie	12/9/99	
RMB	PCT	US99/18510	10/23/98		8/12/99	
RMB	PCT	US00/32088	4/4/2001		11/20/2000	

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RMD	CHRIS PREIST, MARTEN vAN TOL, "International Conference on Information and Computation Economies", <u>Adaptive Agents in a Persistant Shout Double Auction</u> , (c) 1999, Pages 2.	

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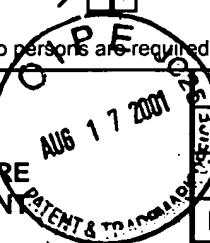
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Ratterman et al.

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	5,598,557		Doner et al.	1/28/97	9/22/92
	5,694,546		Reisman	12/2/97	5/31/94
	5,873,069		Reuhl et al.	2/26/99	10/13/95
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	5,803,500		Mossberg	9/8/98	3/27/97
	5,799,285		Klingman	8/25/98	8/30/96
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	5,771,291		Newton et al.	6/23/98	12/11/95
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	5,706,457		Dwyer et al.	1/6/98	6/7/95
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	5,884,056		Steele	3/16/99	12/28/95

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